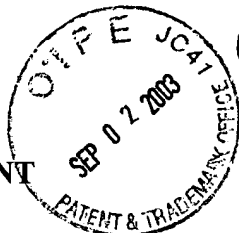


PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

T-14
10-15-03
H.S.
ADS

In re application of: Mark T. Ungs

Examiner: Truong, Kevin Thao

Serial No.: 10/044,354

Group Art Unit: 3731

Filed: January 10, 2002

Docket No.: 1001.1516101

For: DISC SHAPED FILTER

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TECHNOLOGY CENTER R3700

**INFORMATION DISCLOSURE STATEMENT
BEFORE
MAILING DATE OF EITHER A FINAL ACTION OR NOTICE
OF ALLOWANCE (37 CFR 1.97(c))**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.08: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 20 day of August, 2003.

By _____

Glenn M. Seager

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the

national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under Section 1.113 or a notice of allowance under Section 1.311, whichever occurs first.

Accompanying this transmittal are fees in the amount of \$180.00

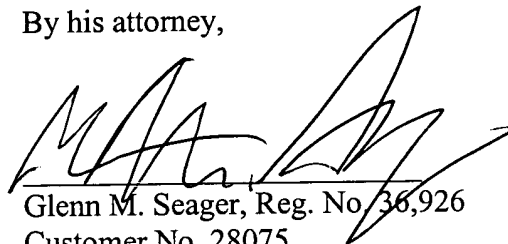
Respectfully submitted,

MARK T. UNGS

By his attorney,

Dated:

Aug. 28, 2003



Glenn M. Seager, Reg. No. 36,926

Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800

Minneapolis, Minnesota 55403-2420

(612) 677-9050



3731#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TRANSMITTAL SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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SEP 05 2003

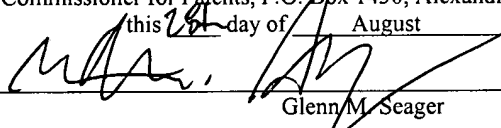
TECHNOLOGY CENTER #3700

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on
this 28 day of August, 2003.

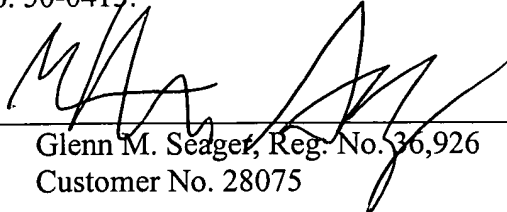
By


Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 with Seven (7) references.
- ☒ A check in the amount of \$180.00.
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:


Glenn M. Seager, Reg. No. 36,926
Customer No. 28075

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